



PATENT APPLICATION

3621/8
3621/8
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No.: 9303

John S. HENDRICKS et al.

Group Art Unit: 3621

Application No.: 09/289,957

Examiner: John M. WINTER

Filed: April 13, 1999

Attorney Docket No.: 026880-00024

For: ELECTRONIC BOOK ALTERNATIVE DELIVERY SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: March 15, 2007

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

03/16/2007 HEERKE 00000068 09289957
01 FC:1806

- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. Copy/Copies of the U.S. Patent references are not enclosed.
- ☒ 5. The references are cited in corresponding foreign applications, and related U.S. and foreign applications.
- ☒ 6. Copies of the foreign patent documents and the publications are on the attached CD

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00024.

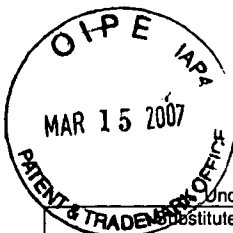
Respectfully submitted,



Tarik M. Nabi
Registration No. 55,478

Customer No. 004372
ARENT FOX LLP
1050 Connecticut Avenue, N.W.,
Suite 400
Washington, D.C. 20036-5339
Tel: (202) 857-6000
Fax: (202) 638-4810
Enclosures: PTO/SB/08a – U.S. Patent Documents (13 pages)
PTO/SB/08a – Foreign Patent Documents (5 pages)
PTO/SB/08a – U.S. and Non-Patent Literature (1 page)
CD

TMN/wb



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a**Complete if Known**

| | |
|------------------------|--------------------------|
| Application Number | 09/289,957 |
| Filing Date | April 13, 1999 |
| First Named Inventor | John S. HENDRICKS et al. |
| Art Unit | 3621 |
| Examiner Name | John M. Winter |
| Attorney Docket Number | 026880-00024 |

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|
| JW | A1 | US- 5,864,823 | | 01-26-1999 | LEVITAN | |
| | A2 | US-4,023,408 | | 05-17-1977 | RYAN et al. | |
| | A3 | US-5,146,600 | | 09-08-1992 | SUGIURA | |
| | A4 | US-5,220,438 | | 06-15-1993 | YAMAMOTO | |
| | A5 | US-5,237,614 | | 08-17-1993 | WEISS | |
| | A6 | US-5,565,999 | | 10-15-1996 | TAKAHASHI | |
| | A7 | US-5,621,658 | | 04-15-1997 | JACKSON et al. | |
| | A8 | US-5,428,529 | | 06-27-1995 | HARTRICK et al. | |
| | A9 | US-4,941,089 | | 07-10-1990 | FISHER | |
| | A10 | US-5,691,777 | | 11-25-1997 | KASSAATLY | |
| | A11 | US-5,892,900 | | 04-06-1999 | GINTER et al. | |
| | A12 | US-6,415,316 | | 07-02-2002 | VAN DER MEER | |
| | A13 | US-4,890,319 | | 12-26-1989 | SETH-SMITH et al. | |
| | A14 | US-5,054,984 | | 10-08-1991 | Chan et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Country Code ³ | Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|-------------------------|---------------------------|---|-----------------------------|---|---|----------------|
| | | | | | | | | |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|---|----------------|
| | A14 | HERRON T.L., "Teaching with the Internet" Internet and Higher Education, US, JAI Press, Stamford, CT, Vol. 1, No. 3, 1998, pages 217-222, XP002118719, ISSN: 1096-7516. | |
| | A15 | AZUMA J., "Creating Educational Web Sites", IEEE Communications Magazine, US, IEEE Service Center, Piscataway, N.J., Vol. 37, No. 3, March 1999, pages 109-113, XP000823980, ISSN: 0163-6804. | |
| | A16 | GOLDBERG, M.W. et al., "World Wide Web-Course tool: An environment for building WWW-based courses", Computer Networks and ISDN Systems, NL, North Holland Publishing, Amsterdam, Vol. 28, No. 11, May 1, 1996, Pages 1219-1231, XP004018222, ISSN: 0169-7552. | |
| | A17 | "An Interactive Lesson in the Interactive Course Series", Waite Group Press, Macmillan Computer Publishing, 'Online! 1996, XP000829591, Retrieved from the Internet: URL: http://www.waite.com/ retrieved on 1996. | |
| | A18 | GOORFIN, L., "Electronic Dictionary Pronounces over 83,000 Words", Speech Technology, Man-Machine Voice Communications, US, Media Dimensions Inc., New York, Vol. 4, No. 4, April 1, 1989, pages 78-79, XP000033505, ISSN: 0744-1355. | |
| | A19 | HARTLEY, S. et al., "Enhancing Teaching Using the Internet", SIGCSE/SIGCUE Conference on Integrating Technology into Computer Science Education, US, New York, ACM, Vol. CONF. 1, June 2, 1996, pages 218-228, ISBN: 0-89791-844-4. | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

TECH/491281.1



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a

Complete if Known

| | |
|----------------------|--------------------------|
| Application Number | 09/289,957 |
| Filing Date | April 13, 1999 |
| First Named Inventor | John S. HENDRICKS et al. |
| Art Unit | 3621 |
| Examiner Name | John M. Winter |

| | | | | | |
|-------|---|----|----|------------------------|--------------|
| Sheet | 1 | of | 13 | Attorney Docket Number | 026880-00024 |
|-------|---|----|----|------------------------|--------------|

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|

| | | | | | | |
|------|--|-----------|--|------------|-------------------------|--|
| /JW/ | | 3,869,873 | | n/a | Ringstad | |
| | | 3,891,792 | | n/a | Kimura | |
| | | 3,978,470 | | n/a | McGuire | |
| | | 4,071,697 | | 01-1978 | Bushnell et al. | |
| | | 4,197,590 | | 4/8/1980 | Sukonick, et al. | |
| | | 4,251,671 | | n/a | Reed et al. | |
| | | 4,298,793 | | 11/1981 | Melis et al. | |
| | | 4,361,848 | | 11/30/1982 | Poigner et al. | |
| | | 4,381,522 | | 4/26/1983 | Lambert | |
| | | 4,398,216 | | n/a | Field et al. | |
| | | 4,405,829 | | 09-1983 | Rivest et al. | |
| | | 4,429,385 | | 01-1984 | Cichelli et al. | |
| | | 4,455,570 | | 6/19/1984 | Saeki et al. | |
| | | 4,484,217 | | 11/20/1984 | Block, et al. | |
| | | 4,488,179 | | 12/11/1984 | Kruger, et al. | |
| | | 4,517,598 | | 5/14/1985 | Van Valkenburg et al. | |
| | | 4,528,589 | | n/a | Block et al. | |
| | | 4,528,643 | | 07-1985 | Freeny, Jr., Charles C. | |
| | | 4,532,540 | | 07-1985 | Wine, Charles M. | |
| | | 4,533,948 | | 8/6/1985 | McNamara et al. | |
| | | 4,546,382 | | 10/8/1985 | McKenna, et al. | |
| | | 4,567,512 | | 1/1996 | Abraham | |
| | | 4,578,531 | | 03-1986 | Everhart et al. | |
| | | 4,587,520 | | 5/6/1986 | Astle | |
| | | 4,602,279 | | 7/22/1986 | Freeman et al. | |
| | | 4,605,964 | | 8/12/1986 | Chard | |
| | | 4,616,263 | | 10-1986 | Eichelberger, George P. | |
| | | 4,621,282 | | n/a | Ahern | |
| | | 4,625,076 | | 11-1986 | Okamoto et al. | |
| | | 4,625,235 | | 11-1986 | Watson, John N. | |
| | | 4,633,462 | | n/a | Stifle et al. | |
| | | 4,639,225 | | 1/27/1987 | Washizuka | |
| | | 4,644,470 | | Feb-87 | Feigenbaum et al. | |
| | | 4,653,100 | | 03/1987 | Barnett, et al. | |
| | | 4,668,218 | | 5/26/1987 | Virtanen | |
| | | 4,668,246 | | n/a | Eilers et al. | |
| | | 4,673,976 | | | Wreford-Howard | |

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|----|------------------------|--------------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 2 | of | 13 | Attorney Docket Number | 026880-00024 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|

| | | | | | | |
|-------|--|-----------|--|------------|---------------------|--|
| /JWW/ | | 4,688,218 | | 8/18/1987 | Bliveau et al. | |
| | | 4,688,245 | | 8/18/1987 | Eilers, et al. | |
| | | 4,691,109 | | 10/2/1990 | Tanaka | |
| | | 4,694,483 | | 9/1987 | Cheung | |
| | | 4,694,490 | | 9/15/1987 | Harvey et al. | |
| | | 4,697,209 | | 9/29/1987 | Kiewit et al. | |
| | | 4,706,121 | | n/a | Young | |
| | | 4,712,105 | | 12/8/1987 | Kohler | |
| | | 4,712,130 | | 12/8/1987 | Casey | |
| | | 4,724,491 | | 2/9/1988 | Lambert | |
| | | 4,739,510 | | n/a | Jeffers et al. | |
| | | 4,751,578 | | 6/14/1988 | Reiter et al. | |
| | | 4,768,087 | | 8/1988 | Taub et al. | |
| | | 4,792,972 | | 12/20/1988 | Cook, Jr. | |
| | | 4,805,014 | | n/a | Sahara, et al. | |
| | | 4,816,901 | | 3/28/1989 | Music, et al. | |
| | | 4,816,904 | | 3/28/1989 | McKenna et al. | |
| | | 4,820,167 | | 4/1989 | Nobles et al. | |
| | | 4,829,372 | | n/a | McCalley et al. | |
| | | 4,829,558 | | 5/9/1989 | Welsh | |
| | | 4,829,569 | | 5/9/1989 | Seth-Smith et al. | |
| | | 4,835,607 | | 5/30/89 | Keith | |
| | | 4,855,725 | | 08/08/1989 | Fernandez | |
| | | 4,860,379 | | 8/22/1989 | Schoenberger et al. | |
| | | 4,876,736 | | 10/24/1989 | Kiewit | |
| | | 4,885,803 | | n/a | Hermann et al. | |
| | | 4,891,838 | | 01-1990 | Faber, Lawrence M. | |
| | | 4,920,432 | | 4/24/1990 | Eggers, et al. | |
| | | 4,924,513 | | 05-1990 | Herbison et al. | |
| | | 4,928,168 | | 5/22/1990 | Iwashita | |
| | | 4,928,177 | | 05-1990 | Martinez, Louis | |
| | | 4,930,160 | | 5/29/1990 | Vogel | |
| | | 4,947,429 | | 8/7/1990 | Bestler, et al. | |
| | | 4,949,187 | | 8/14/1990 | Cohen | |
| | | 4,959,810 | | 9/25/1990 | Darbee, et al. | |
| | | 4,961,109 | | 10/2/1990 | Tanaka | |
| | | 4,965,819 | | 10-1990 | Kannes, Deno | |

| | | | |
|-----------------------|---------------|--------------------|--|
| Examiner Signature | /John Winter/ | Date Considered | |
|-----------------------|---------------|--------------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 3 | of | 13 | Attorney Docket Number | 026880-00024 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|
| /JW/ | | 4,965,825 | | 10/23/1990 | Harvey, et al. | |
| | | 4,975,951 | | 12/4/1990 | Bennett | |
| | | 4,977,455 | | 12/11/1990 | Young | |
| | | 4,982,344 | | 1/1991 | Jordan | |
| | | 4,985,697 | | 01/15/1991 | Boulton | |
| | | 4,995,078 | | 2/19/1991 | Monslow et al. | |
| | | 4,996,597 | | 2/26/1991 | Duffield | |
| | | 4,997,455 | | n/a | Young | |
| | | 5,001,554 | | 3/19/1991 | Johnson et al. | |
| | | 5,003,384 | | 3/26/1991 | Durden, et al. | |
| | | 5,003,591 | | | Kauffman et al. | |
| | | 5,010,499 | | 04-1991 | Yee, Keen Y. | |
| | | 5,014,125 | | 5/7/1991 | Pocach et al. | |
| | | 5,015,829 | | 5/14/1991 | Eilert, et al. | |
| | | 5,020,129 | | 5/28/1991 | Martin et al. | |
| | | 5,027,400 | | 6/25/1991 | Baji et al. | |
| | | 5,036,394 | | 7/30/1991 | Morii | |
| | | 5,036,537 | | 7/30/1991 | Jeffers, et al. | |
| | | 5,038,402 | | n/a | Robbins | |
| | | 5,046,093 | | 9/3/1991 | Wachob | |
| | | 5,047,867 | | 9/10/1991 | Strubbe, et al. | |
| | | 5,049,990 | | 9/17/1991 | Kondo, et al. | |
| | | 5,056,138 | | n/a | Tyson, Sr. | |
| | | 5,057,917 | | 10/15/1991 | Shalkauser et al. | |
| | | 5,073,930 | | n/a | Green et al. | |
| | | 5,075,771 | | n/a | Hashimoto | |
| | | 5,077,607 | | 12/31/1991 | Johnson, et al. | |
| | | 5,078,019 | | 1/7/1992 | Aoki | |
| | | 5,089,956 | | 2/1/1992 | MacPhail | |
| | | 5,091,782 | | 2/25/1992 | Krause, et al. | |
| | | 5,093,718 | | 3/3/1992 | Hoarty et al. | |
| | | 5,099,319 | | n/a | Esch et al. | |
| | | 5,103,314 | | n/a | Keenan | |
| | | 5,104,125 | | 5/7/1991 | Pocock et al. | |
| | | 5,105,268 | | 4/14/1992 | Yamanouchi, et al. | |
| | | 5,115,426 | | n/a | Spanke | |
| | | 5,121,476 | | 6/9/1992 | Yee | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|----|------------------------|--------------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 4 | of | 13 | Attorney Docket Number | 026880-00024 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|

| | | | |
|-----------|-----------|--------------------|--------------------|
| /JW/ | 5,124,980 | 6/23/1992 | Maki |
| | 5,130,792 | 7/14/1992 | Tindell, et al. |
| | 5,130,924 | 7/1992 | Barker, et al. |
| | 5,132,789 | 7/21/1992 | Ammon et al. |
| | 5,132,992 | 7/21/1997 | Yurt et al. |
| | 5,133,079 | 7/21/1992 | Ballantyne, et al. |
| | 5,140,419 | 08-1992 | Galumbeck et al. |
| | 5,144,663 | 9/1992 | Kudelski et al. |
| | 5,144,665 | 09-1992 | Takaragi et al. |
| | 5,146,552 | 9/1992 | Cassoria et al. |
| | 5,150,118 | 9/22/1992 | Finkle |
| | 5,151,782 | 9/29/1992 | Ferraro |
| | 5,151,789 | 9/29/1992 | Young |
| | 5,152,011 | 9/29/1992 | Schwob |
| | 5,155,591 | 10/1992 | Wachob |
| | 5,159,452 | 10/1992 | Kinoshita et al. |
| | 5,166,886 | 11/24/1992 | Molnar, et al. |
| | 5,172,413 | 12/15/1992 | Bradley et al. |
| | 5,182,639 | 1/26/1993 | Jutamulia et al. |
| | 5,185,667 | n/a | Zimmerman et al. |
| | 5,199,104 | 3/1993 | Hirayama |
| | 5,202,129 | | MARTIN et al. |
| | 5,202,817 | 4/13/1993 | Koenck, et al. |
| | 5,204,947 | 4/1993 | Bernstein, et al. |
| | 5,206,722 | 4/27/1993 | Kwan |
| | 5,206,929 | 4/27/1993 | Langford, et al. |
| | 5,206,954 | 4/27/1993 | Inoue et al. |
| | 5,208,745 | 05-1993 | Quentin et al. |
| | 5,210,611 | 5/1993 | Yee et al. |
| | 5,212,553 | 5/18/1993 | Maruoka |
| | 5,216,515 | 6/1/1993 | Steele et al. |
| | 5,221,962 | n/a | Backus et al. |
| 5,222,136 | 06-1993 | Rasmussen et al. | |
| 5,223,924 | 6/29/1993 | Strubbe | |
| 5,233,333 | 08-1993 | Borsuk, Sherwin M. | |
| 5,235,419 | 8/10/1993 | Krause et al. | |
| 5,235,619 | 8/1993 | Beyers et al. | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|---|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 5 | of | 13 | Attorney Docket Number | 026880-00024 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|
| /JW/ | | 5,235,680 | | 8/1993 | Bijnagte | |
| | | 5,237,311 | | 8/17/1993 | Mailey, et al. | |
| | | 5,237,610 | | 8/17/1993 | Gammie et al. | |
| | | 5,239,665 | | 8/1993 | Tsuchiya | |
| | | 5,241,671 | | 8/1993 | Reed, et al. | |
| | | 5,242,770 | n/a | | Schmelzer et al. | |
| | | 5,247,347 | | 9/1993 | Litteral et al. | |
| | | 5,247,575 | | 09-1993 | Sprague et al. | |
| | | 5,251,324 | | 10/1993 | McMullan | |
| | | 5,253,066 | | 10/12/1993 | Vogel | |
| | | 5,253,275 | | 10/12/1993 | Yurt et al. | |
| | | 5,253,341 | n/a | | Rozmanith et al. | |
| | | 5,260,778 | | 11/9/1993 | Kauffman et al. | |
| | | 5,282,028 | n/a | | Johnson et al. | |
| | | 5,282,206 | | 10/1/1997 | Wehmeyer et al. | |
| | | 5,283,639 | | 2/1/1994 | Esch et al. | |
| | | 5,285,272 | | 2/8/1994 | Bradley et al. | |
| | | 5,289,271 | | 2/22/1994 | Watson | |
| | | 5,289,288 | | 2/22/1994 | Silverman, et al. | |
| | | 5,291,554 | | | Morales | |
| | | 5,293,540 | | 3/8/1994 | Trani et al. | |
| | | 5,293,633 | n/a | | Robbins | |
| | | 5,318,450 | | 6/1994 | Carver | |
| | | 5,319,454 | | 6/1994 | Schutte | |
| | | 5,319,455 | | 6/7/1994 | Hoarty et al. | |
| | | 5,319,542 | | 6/1/1994 | King, et al. | |
| | | 5,319,649 | | 6/1994 | Raghaven et al. | |
| | | 5,319,707 | | 6/7/1994 | Wasilewski, et al. | |
| | | 5,321,846 | | 6/1994 | Yokota et al. | |
| | | 5,323,240 | | 6/21/1994 | Amano et al. | |
| | | 5,327,554 | | 7/5/1994 | Palazzi, III et al. | |
| | | 5,329,590 | | 7/12/1994 | Pond | |
| | | 5,339,091 | | 08-1994 | Yamazaki et al. | |
| | | 5,339,239 | | 8/16/1994 | Manabe et al. | |
| | | 5,339,315 | | 8/16/1994 | Maeda, et al. | |
| | | 5,341,166 | | 8/23/1994 | Garr, et al. | |
| | | 5,341,426 | | 08/23/1994 | Barney et al. | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | | |
|---|--------------------------|-----------------|---|--------------------------------|--|--|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | | |
| | | | | Application Number | 09/289,957 | |
| | | | | Filing Date | April 13, 1999 | |
| | | | | First Named Inventor | John S. HENDRICKS et al. | |
| | | | | Art Unit | 3621 | |
| | | | | Examiner Name | John M. Winter | |
| Sheet | 6 | of | 13 | Attorney Docket Number | 026880-00024 | |
| U.S. PATENT DOCUMENTS | | | | | | |
| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |

| | | | | | | |
|------|--|-----------|--|------------|--------------------|--|
| /JW/ | | 5,341,474 | | 8/23/1994 | Gelman, et al. | |
| | | 5,343,239 | | 8/30/1994 | Lappington | |
| | | 5,343,516 | | n/a | Callele, et al. | |
| | | 5,345,580 | | 9/1994 | Tamaru et al. | |
| | | 5,345,594 | | 9/6/1994 | Tsuda | |
| | | 5,349,638 | | n/a | Pitroda et al. | |
| | | 5,351,075 | | 9/27/1994 | Herz, et al. | |
| | | 5,353,121 | | 10/4/1994 | Young, et al. | |
| | | 5,355,162 | | 10/11/1994 | Yazolino et al. | |
| | | 5,357,276 | | 10/18/1994 | Banker, et al. | |
| | | 5,365,265 | | n/a | Shibata et al. | |
| | | 5,365,434 | | 11/1994 | Figliuzzi | |
| | | 5,367,330 | | 11/22/1994 | Haave et al. | |
| | | 5,367,571 | | 11/22/94 | Bowen, et al. | |
| | | 5,367,621 | | 11/1994 | Cohen, et al. | |
| | | 5,367,643 | | 11/1994 | Chang et al. | |
| | | 5,371,532 | | 12-1994 | Gelman et al. | |
| | | 5,373,324 | | | Kuroda et al. | |
| | | 5,375,068 | | 12/20/1994 | Palmer, et al. | |
| | | 5,379,057 | | 01-1995 | Clough et al. | |
| | | 5,384,588 | | 1/24/1995 | Martin et al. | |
| | | 5,388,101 | | 2/1995 | Dinkins | |
| | | 5,388,196 | | 2/1995 | Pajak et al. | |
| | | 5,390,348 | | n/a | Magin et al. | |
| | | 5,396,546 | | 3/7/1995 | Remillard | |
| | | 5,400,401 | | 3/21/1995 | Wasilewski, et al. | |
| | | 5,404,393 | | 4/4/1995 | Remillard | |
| | | 5,404,505 | | 4/4/1995 | Levison | |
| | | 5,408,258 | | 4/1995 | Kolessar | |
| | | 5,408,465 | | 4/1995 | Guesella et al. | |
| | | 5,410,326 | | 4/1995 | Goldstein | |
| | | 5,410,344 | | 4/25/1995 | Graves et al. | |
| | | 5,412,416 | | 5/2/1995 | Nemirofsky | |
| | | 5,414,426 | | 5/9/1995 | O'Donnell, et al. | |
| | | 5,416,508 | | 5/16/1995 | Sakuma et al. | |
| | | 5,417,575 | | 5/1995 | McTaggart | |
| | | 5,418,559 | | 5/23/1995 | Blahut | |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|--|---|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 7 | of | 13 | Attorney Docket Number | 026880-00024 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |


| | | | | | | |
|------|--|-----------|--|------------|---------------------|--|
| /JW/ | | 5,420,474 | | 05-1995 | Morris et al. | |
| | | 5,424,770 | | 6/13/1995 | Schmelzer | |
| | | 5,426,594 | | 6/1/1995 | Wright et al. | |
| | | 5,432,542 | | 7/11/1995 | Thibadeau, et al. | |
| | | 5,432,561 | | n/a | Strubbe | |
| | | 5,437,552 | | 8/1995 | Baer, et al. | |
| | | 5,438,372 | | 8/1/1995 | Tsumori et al. | |
| | | 5,440,632 | | n/a | Bacon et al. | |
| | | 5,442,626 | | 8/15/1995 | Wei | |
| | | 5,446,488 | | 8/1995 | Vogel | |
| | | 5,446,490 | | 8/29/1995 | Blahut, et al. | |
| | | 5,446,919 | | 8/29/1995 | Wilkins | |
| | | 5,461,667 | | 10/1995 | Remillard | |
| | | 5,465,213 | | 11/1995 | Ross | |
| | | 5,465,401 | | 11-1995 | Thompson, E. Earle | |
| | | 5,466,158 | | 11/1955 | Smith III | |
| | | 5,467,102 | | 11/1995 | Kuno et al. | |
| | | 5,469,206 | | 11/21/1995 | Strubbe et al. | |
| | | 5,473,362 | | 12/5/1995 | Fitzgerald, et al. | |
| | | 5,475,398 | | 12/1995 | Yamazaki et al. | |
| | | 5,475,399 | | 12/1/1995 | Borsuk, Sherwin M. | |
| | | 5,477,262 | | 12/19/1995 | Bunker, et al. | |
| | | 5,477,263 | | 12/19/1995 | O'Callaghan, et al. | |
| | | 5,479,268 | | 12/26/1995 | Young | |
| | | 5,479,508 | | 12/26/1995 | Bestler et al. | |
| | | 5,481,294 | | 1/2/1996 | Thomas et al. | |
| | | 5,481,296 | | 1/2/1996 | Cragin et al. | |
| | | 5,481,542 | | 1/1996 | Logston | |
| | | 5,483,278 | | 1/9/1996 | Strubbe, et al. | |
| | | 5,484,292 | | 1/1996 | McTaggart | |
| | | 5,485,221 | | 1/1996 | Banker et al. | |
| | | 5,486,686 | | | Zdybel, Jr., et al. | |
| | | 5,495,581 | | 2/1996 | Tsai | |
| | | 5,497,187 | | 3/5/1996 | Banker et al. | |
| | | 5,497,459 | | 3/1996 | Tanihira et al. | |
| | | 5,499,330 | | 3/1996 | Lucas et al. | |
| | | 5,500,794 | | 3/1996 | Fujita et al. | |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|---|---|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 8 | of | 13 | Attorney Docket Number | 026880-00024 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |

| | | | | | |
|---|-----------|------------|-------------------|-------------------|--|
| /JW/  | 5,502,576 | | 3/1996 | Ramsay et al. | |
| | 5,506,902 | | 4/1996 | Kubota | |
| | 5,509,074 | | 4/1/1996 | Choudhury et al. | |
| | 5,512,934 | | 4/30/1996 | Kochanski | |
| | 5,515,098 | | 5/7/1996 | Carles | |
| | 5,517,254 | | 5/14/1996 | Monta et al. | |
| | 5,521,631 | | 5/1996 | Budow et al. | |
| | 5,524,193 | | 6/1996 | Covington, et a. | |
| | 5,524,201 | | 06-1996 | Shwartz et al. | |
| | 5,526,035 | | 06-1996 | Lappington et al. | |
| | 5,526,469 | | 6/1996 | Brindle et al. | |
| | 5,528,281 | | 6/1996 | Grady et al. | |
| | 5,532,920 | | 07-1996 | Hartnick et al. | |
| | 5,534,888 | | 7/1996 | Lebby et al. | |
| | 5,544,320 | | 8/1996 | Konrad | |
| | 5,544,342 | | 8/1996 | Dean | |
| | 5,550,863 | | 8/1996 | Yurt et al. | |
| | 5,557,722 | | 9/1996 | DeRose, et al. | |
| | 5,561,708 | | 10/1996 | Remillard | |
| | 5,565,908 | | 10/1996 | Ahmad | |
| | 5,570,126 | | 10/29/1996 | Blahut et al. | |
| | 5,572,625 | | 11/1996 | Raman, et al. | |
| | 5,579,055 | | 11/1996 | Hamilton et al. | |
| | 5,579,057 | | 11-1996 | Banker et al. | |
| | 5,581,560 | | 12/1996 | Shimada et al. | |
| | 5,585,858 | | 12/17/1996 | Harper et al | |
| | 5,586,235 | | 12/1996 | Kauffman et al. | |
| | 5,587,724 | | 12/1996 | Matsuda | |
| | 5,598,209 | | | Cortjens et al. | |
| | 5,598,351 | | | Chater et al. | |
| | 5,598,523 | | 1/1997 | Fujita | |
| | 5,600,368 | | 2/4/1997 | Matthews, III | |
| 5,600,573 | | 02/04/1997 | Hendricks, et al. | | |
| 5,602,596 | | 2/1997 | Claussen et al. | | |
| 5,604,824 | | 2/1997 | Chui et al. | | |
| 5,608,449 | | 3/1997 | Swafford et al. | | |
| 5,621,456 | | 4/1997 | Florin et al. | | |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|---|---|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 9 | of | 13 | Attorney Docket Number | 026880-00024 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------------|-----------------|--|-----------------------------|---|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |

| | | | | | | |
|------|--|-----------|--|------------|-------------------|--|
| /JW/ | | 5,624,265 | | 4/1997 | Redford et al. | |
| | | 5,625,833 | | 4/1997 | Levine et al. | |
| | | 5,630,103 | | n/a | Smith et al. | |
| | | 5,630,125 | | 5/1997 | Zellweger | |
| | | 5,631,693 | | 5/20/1997 | Wunderlich et al. | |
| | | 5,632,022 | | 5/1997 | Warren et al. | |
| | | 5,634,064 | | | Warnock et al. | |
| | | 5,635,918 | | 6/3/1997 | Tett | |
| | | 5,636,036 | | 06-1997 | Ashbey, James A. | |
| | | 5,640,193 | | 6/1997 | Wellner | |
| | | 5,640,196 | | 6/17/1997 | Behrens et al. | |
| | | 5,644,354 | | 7/1/1997 | Thompson et al. | |
| | | 5,646,675 | | 07-1997 | Copriviza et al. | |
| | | 5,657,414 | | 8/1997 | Lett et al. | |
| | | 5,661,516 | | 8/26/1997 | Carles | |
| | | 5,663,757 | | 9/2/1997 | Morales | |
| | | 5,666,493 | | 9/1997 | Wojoik et al. | |
| | | 5,689,641 | | | Ludwig et al. | |
| | | 5,689,648 | | 11/1997 | Diaz et al. | |
| | | 5,689,663 | | 11/18/1997 | Williams | |
| | | 5,696,906 | | 12/9/1997 | Peters et al. | |
| | | 5,696,982 | | | Tanigawa et al. | |
| | | 5,697,793 | | 12/1997 | Huffman et al. | |
| | | 5,710,887 | | 1/1998 | Chelliah et al. | |
| | | 5,721,832 | | 2/1/1998 | Westrope et al. | |
| | | 5,721,956 | | 2/24/1998 | Martin, et al. | |
| | | 5,722,418 | | 03-1998 | Bro, L. William | |
| | | 5,724,091 | | 3/3/1996 | Freeman et al. | |
| | | 5,724,521 | | 3/1998 | Dedrick | |
| | | 5,726,909 | | 03/10/1998 | Krikorian | |
| | | 5,727,065 | | 3/10/1998 | Dillon | |
| | | 5,734,891 | | 3/1998 | Saigh | |
| | | 5,737,725 | | 4/7/1998 | Case | |
| | | 5,737,747 | | 4/1998 | Vishlitzky et al. | |
| | | 5,739,814 | | 04-1998 | Ohara et al. | |
| | | 5,740,549 | | 4/1998 | Reily et al. | |
| | | 5,742,680 | | 04/21/1998 | Wilson | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

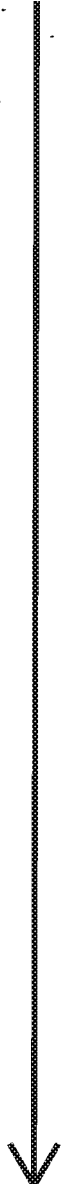
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|----|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 10 | of | 13 | Attorney Docket Number | 026880-00024 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|
|-----------------------|--------------------------|-----------------|---|--------------------------------|--|--|

| | | | | | | |
|--|--|-----------|--|------------|--------------------|--|
| JW/  | | 5,748,441 | | | Loritz et al. | |
| | | 5,754,172 | | | Kubota et al. | |
| | | 5,758,257 | | 5/26/1998 | Herz et al. | |
| | | 5,761,468 | | 6/1998 | Emberson | |
| | | 5,761,485 | | 6/2/1998 | Munyan | |
| | | 5,761,606 | | 6/1998 | Wolzien | |
| | | 5,764,276 | | 6/9/1998 | Martin, et al. | |
| | | 5,767,896 | | 6/16/1998 | Nemirofsky | |
| | | 5,768,521 | | 06/1998 | Dedrick | |
| | | 5,771,354 | | 6/1998 | Crawford | |
| | | 5,774,170 | | 6/30/1998 | Hite et al. | |
| | | 5,787,171 | | n/a | Kubota et al. | |
| | | 5,790,176 | | 8/1998 | Criag | |
| | | 5,790,935 | | 8/1998 | Payton | |
| | | 5,793,414 | | 8/11/1998 | Shaffer | |
| | | 5,798,785 | | 8/1998 | Hendricks et al. | |
| | | 5,799,071 | | 8/1998 | Azar et al. | |
| | | 5,799,157 | | 8/25/1998 | Escallon | |
| | | 5,802,465 | | 09-1998 | Hamalainen et al. | |
| | | 5,802,526 | | 09/1998 | Fawcett, et al. | |
| | | 5,805,204 | | 9/8/1998 | Thompson, et al. | |
| | | 5,815,671 | | 9/29/1998 | Morrison | |
| | | 5,819,301 | | 10/6/1998 | Rowe et al. | |
| | | 5,835,732 | | 11-1998 | Kikinis et al. | |
| | | 5,844,600 | | 12/1/1998 | Kerr | |
| | | 5,844,890 | | 12/1/1998 | Delp, et al. | |
| | | 5,850,218 | | 12/15/1998 | LaJoie et al. | |
| | | 5,850,629 | | 12/1998 | Holm, et al. | |
| | | 5,859,594 | | 1/1999 | King et al. | |
| | | 5,862,325 | | 1/1999 | Reed et al. | |
| | | 5,862,329 | | | Aras et al. | |
| | | 5,870,717 | | 2/1999 | Wiecha | |
| | | 5,877,755 | | 03-1999 | Helhake, Paul | |
| | | 5,881,269 | | 3/1999 | Dobbelstein | |
| | | 5,887,801 | | 3/2/1999 | Martin, et al. | |
| | | 5,890,122 | | 3/30/1999 | Van Kleeck, et al. | |
| | | 5,892,915 | | 4/1999 | Duso et al. | |

| | |
|-----------------------|--------------------|
| Examiner Signature | Date Considered |
|-----------------------|--------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|--|----|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 11 | of | 13 | Attorney Docket Number | 026880-00024 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------------|-----------------|--|-----------------------------|---|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |

| | | | | | | |
|------|--|-----------|--|------------|--------------------|--|
| /JW/ | | 5,893,132 | | 4/1999 | Huffman et al. | |
| | | 5,898,852 | | 4/1999 | Petolino et al. | |
| | | 5,903,319 | | 5/11/1999 | Busko, et al. | |
| | | 5,903,652 | | 5/1999 | Mital | |
| | | 5,903,901 | | 5/1999 | Kawakura et al. | |
| | | 5,914,706 | | 6/1999 | Kono | |
| | | 5,917,543 | | | Uehara | |
| | | 5,917,915 | | 6/29/1999 | Hirose | |
| | | 5,929,848 | | 7/1999 | Albukerk et al. | |
| | | 5,930,768 | | 07/27/1999 | Hooban | |
| | | 5,933,498 | | 11/1997 | Schneck et al. | |
| | | 5,940,073 | | 8/17/1999 | Klosterman et al. | |
| | | 5,957,695 | | 9/28/1999 | Redford et al. | |
| | | 5,963,246 | | | Kato | |
| | | 5,986,677 | | 11/1999 | Jones et al. | |
| | | 5,986,690 | | 11/16/1999 | Hendricks | |
| | | 5,990,927 | | 11/1999 | Hendricks et al. | |
| | | 5,999,214 | | | Inagaki | |
| | | 6,012,890 | | 1/2000 | Celorio | |
| | | 6,014,184 | | 1/2000 | Knee et al. | |
| | | 6,025,837 | | 2/15/2000 | Mathews III et al. | |
| | | 6,025,871 | | | Kantor et al. | |
| | | 6,029,045 | | 2/22/2000 | Picco et al. | |
| | | 6,034,680 | | 3/17/2000 | Kessenich | |
| | | 6,052,717 | | 4/18/2000 | Reynolds, et al. | |
| | | 6,091,823 | | 07-2000 | Hosomi et al. | |
| | | 6,091,930 | | 7/2000 | Mortimer et al. | |
| | | 6,101,485 | | 8/2000 | Fortenberry et al. | |
| | | 6,112,049 | | 8/2000 | Sonnenfeld | |
| | | 6,115,040 | | 9/2000 | Bladow et al. | |
| | | 6,132,789 | | 7/21/1992 | Ammon, et al. | |
| | | 6,163,796 | | 12/2000 | Yokomizo | |
| | | 6,195,667 | | 2/2001 | Duga et al. | |
| | | 6,204,885 | | 3/20/2001 | Kwoh | |
| | | 6,229,694 | | 5/2001 | Kono | |
| | | 6,243,071 | | 6/2001 | Shwartz et al. | |
| | | 6,252,879 | | 6/2001 | Zhang | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|---|----|----|----|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 12 | of | 13 | Attorney Docket Number | 026880-00024 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|
| /JWW/ ↓ | | 6,260,024 | | 7/2001 | Shkedy | |
| | | 6,269,483 | | | Broussard | |
| | | 6,281,986 | | 8/2001 | Form | |
| | | 6,298,441 | | 10/2001 | Handelman et al. | |
| | | 6,320,591 | | | Briencewic | |
| | | 6,331,865 | | 12/2001 | Sachs et al. | |
| | | 6,331,867 | | 12/18/2001 | Eberhard et al. | |
| | | 6,335,678 | | | Heutschi | |
| | | 6,385,614 | | 5/2002 | Vellandi | |
| | | 6,411,973 | | 6/2002 | Yianilos | |
| | | 6,452,614 | | 9/2002 | King et al. | |
| | | 6,460,036 | | 10/2002 | Herz | |
| | | 6,462,729 | | 10/2002 | Morita | |
| | | 6,493,734 | | | Sachs et al. | |
| | | 6,507,342 | | 1/2003 | Hirayama | |
| | | 6,535,505 | | 3/2003 | Hwang et al. | |
| | | 6,546,016 | | | Gerszberg et al. | |
| | | 6,556,561 | | 4/2003 | Himbeault et al. | |
| | | 6,557,173 | | 4/2003 | Hendricks | |
| | | 6,606,603 | | 8/2003 | Joseph | |
| | | 6,611,531 | | 8/2003 | Chen et al. | |
| | | 6,634,028 | | 10/2003 | Handelman | |
| | | 6,639,225 | | 1/27/1987 | Washizuka | |
| | | 6,675,384 | | 1/2004 | Block et al. | |
| | | 6,714,238 | | | Urisaka et al. | |
| | | 6,725,203 | | | Seet et al. | |
| | | 6,813,249 | | 11/2004 | Lauffenburger et al. | |
| | | 2002/0120635 | | 8/2002 | Joao | |
| | | 2003/0018543 | | 1/2003 | Alger et al. | |
| | | 2003/0093336 | | 5/15/2003 | Ukita et al. | |
| | | 2003/0093382 | | 5/2003 | Himeno et al. | |
| | | D301037 | | 5/9/1989 | Matsuda | |
| | | D314383 | | 4/28/1986 | Hafner | |
| | | D325581 | | 4/21/1992 | Schwartz | |
| | | D326446 | | 5/1992 | Wong | |
| | | D329238 | | 9/8/1992 | Grasso, et al. | |
| | | D331760 | | 12/15/1992 | Renk, Jr. | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Form PTO/SB/08a

| | |
|----------------------|--------------------------|
| Application Number | 09/289,957 |
| Filing Date | April 13, 1999 |
| First Named Inventor | John S. HENDRICKS et al. |
| Art Unit | 3621 |
| Examiner Name | John M. Winter |

| | |
|------------------------|--------------|
| Attorney Docket Number | 026880-00024 |
|------------------------|--------------|

| Examiner Initials* | Cite No. ¹ | Document Number | Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|
|--------------------|-----------------------|-----------------|--|-----------------------------|---|---|

[illegible]Date
Considered

TECH/491316.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|---|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 1 | of | 5 | Attorney Docket Number | 026880-00024 |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|--------------------------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials*, | Cite No. ¹ | Country Code/Foreign Patent Document | Number ² Kind Code ³ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ |

| | | | | | | | |
|-----------|--|--------------|--|------------|-----------------|--|--|
| /JW/ ↓ | | EP 0103,438 | | 3/21/1984 | Barns et al. | | |
| | | EP 0145,063 | | 6/19/1985 | Van Weezel | | |
| | | EP 0149,536 | | 7/24/1985 | Baker | | |
| | | EP 0158,548 | | 10/16/1985 | Waalboer | | |
| | | EP 0158767 | | n/a | Sturgis et al. | | |
| | | EP 0167,237 | | 1/8/1986 | Campbell | | |
| | | EP 0187 961 | | n/a | Hanas et al. | | |
| | | EP 0243,312 | | 10/28/1987 | Kudelski | | |
| | | EP 0277014 | | n/a | Tagawa | | |
| | | EP 0281293 | | n/a | Ichise | | |
| | | EP 0299830 | | n/a | Freeman | | |
| | | EP 0314572 | | n/a | Freeman | | |
| | | EP 0328440 | | n/a | Brisson | | |
| | | EP 0340643 | | n/a | Richards | | |
| | | EP 0355 697 | | n/a | Baji et al. | | |
| | | EP 0377334 | | n/a | Yurt et al. | | |
| | | EP 0384986 | | | Cassorla et al. | | |
| | | EP 0396186 | | n/a | Van de Meent | | |
| | | EP 0399,200 | | 11/28/1990 | Kauffman et al. | | |
| | | EP 0402 809 | | 12/19/1990 | Garza | | |
| | | EP 0420 123 | | 4/3/1991 | Fujita et al. | | |
| | | EP 0424 648 | | 5/2/1991 | Wachob | | |
| | | EP 0425 834 | | 5/8/1991 | Telettra | | |
| | | EP 0450841 | | 10/9/1991 | Walker et al. | | |
| | | EP 0472070 | | 2/26/1992 | Pajak | | |
| | | EP 0506 435 | | 9/30/1992 | Gammie et al. | | |
| | | EP 0513 763 | | 11/19/1992 | Hiroshi et al | | |
| | | EP 0516533 | | n/a | Gerlach | | |
| | | EP 0539106 | | 4/28/1993 | Fox | | |
| | | EP 0567800 | | n/a | Hartrick et al. | | |
| | | EP 0570785 | | n/a | Diehl | | |
| | | EP 0586954 | | 3/16/1994 | Europe | | |
| | | EP 0620689 | | 10/19/1994 | Lambert | | |
| | | EP 0646856 | | 04/05/1995 | Europe | | |
| | | EP 0702491 | | n/a | Belknap et al. | | |
| | | EP 0810534 A | | 12/1997 | Atsushi | | |
| | | EP 0838798 | | 4/29/1998 | L'Allier | | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|---|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 2 | of | 5 | Attorney Docket Number | 026880-00024 |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|--------------------------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Country Code/Foreign Patent Document | Number ² Kind Code ³ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ |

| | | | | | | | |
|------|--|----------------|--|------------|----------------------|--|--|
| /JW/ | | EP 0892388 | | 1/20/1999 | Juang et al. | | |
| | | EP 0924629A | | 06/23/1999 | Europe | | |
| | | EP 0924687 | | 6/23/1999 | Vergo et al. | | |
| | | EP 093/22877 | | 11/11/1993 | EPO | | |
| | | WO 00/62228A3 | | 10/19/2000 | Hendricks | | |
| | | WO 01/18665 A1 | | 3/15/2001 | WIPO | | |
| | | WO 07/22107 | | | | | |
| | | WO 80/00209 | | n/a | Block | | |
| | | WO 86/01,962 | | 3/27/1986 | Lucas | | |
| | | WO 87/01481 | | n/a | Stein | | |
| | | WO 88/01463 | | n/a | Seth-Smith et al. | | |
| | | WO 89/09528 | | n/a | Durden et al. | | |
| | | WO 89/12370 | | n/a | Monslow et al. | | |
| | | WO 8909528 | | 10/5/1989 | WO | | |
| | | WO 90/10988 | | 9/20/1990 | Russel | | |
| | | WO 91/03112 | | 3/7/1991 | De Bey | | |
| | | WO 91/11769 | | 08-1991 | Woodill, Kim, Robert | | |
| | | WO 9100670 | | 1/10/1991 | WO | | |
| | | WO 92/10040 | | n/a | Rovira et al | | |
| | | WO 92/11713 | | 7/9/1992 | Gillette | | |
| | | WO 92/12599 | | 7/23/1992 | WIPO | | |
| | | WO 92/17027 | | 10/1/1992 | WIPO | | |
| | | WO 92/21206 | | 11/26/1992 | Steele et al. | | |
| | | WO 93/22877 | | 11/11/1993 | WIPO | | |
| | | WO 94/07327A1 | | 03/31/1994 | Marasovich et al. | | |
| | | WO 94/13107 | | 6/9/94 | WIPO | | |
| | | WO 94/14282 | | n/a | Hendricks et al. | | |
| | | WO 94/16527 | | n/a | Oberle | | |
| | | WO 9515649A | | 06/08/1995 | Hendricks, et al. | | |
| | | WO 96/08109 | | n/a | Carles | | |
| | | WO 96/17306 | | n/a | Laursen et al. | | |
| | | WO 96/25006 | | n/a | Gosney | | |
| | | WO 96/41473 | | 12/19/1996 | WIPO | | |
| | | WO 97/12105 | | 6/19/1997 | WIPO | | |
| | | WO 97/12819 | | 7/3/1997 | WO | | |
| | | WO 97/13368 | | n/a | Schein | | |
| | | WO 97/18665 | | 3/15/2001 | WIPO | | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|---|---|----|---|------------------------|--------------------------|
| Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 3 | of | 5 | Attorney Docket Number | 026880-00024 |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|--------------------------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Country Code/Foreign Patent Document | Number ² Kind Code ³ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |

| | | | | | | | |
|------|--|---------------|--|------------|------------------|--|--|
| /JW/ | | WO 97/20224 | | 6/5/1997 | WIPO | | |
| | | WO 97/20274 | | n/a | Mynyan | | |
| | | WO 97/22049 | | 6/19/1997 | WO | | |
| | | WO 97/22062 | | 06/1997 | WIPO | | |
| | | WO 97/22063 | | 6/19/1997 | WIPO | | |
| | | WO 97/22064 | | 6/19/1997 | WO | | |
| | | WO 97/22065 | | 6/19/1997 | WO | | |
| | | WO 97/22067 | | 06/1997 | WIPO | | |
| | | WO 97/22079 | | 6/19/1997 | WO | | |
| | | WO 97/22080 | | 06/1997 | WIPO | | |
| | | WO 97/22097 | | 06/1997 | WIPO | | |
| | | WO 97/22099 | | 6/19/1997 | WO | | |
| | | WO 97/22100 | | 6/19/1997 | WO | | |
| | | WO 97/22101 | | 6/19/1997 | WO | | |
| | | WO 97/22102 | | 6/19/1997 | WO | | |
| | | WO 97/22103 | | 6/19/1997 | WO | | |
| | | WO 97/22104 | | 6/19/1997 | WO | | |
| | | WO 97/22105 | | 6/19/1997 | WO | | |
| | | WO 97/22106 | | 06/1997 | WIPO | | |
| | | WO 97/22107 | | 06/1997 | WIPO | | |
| | | WO 97/22108 | | 06/1997 | WIPO | | |
| | | WO 97/22109 | | 6/19/1997 | WO | | |
| | | WO 97/22110 | | 6/19/1997 | Huffman et al. | | |
| | | WO 97/22111 | | 6/19/2006 | WO | | |
| | | WO 97/22112 | | n/a | Huffman et al. | | |
| | | WO 97/23819 | | 07/1997 | WIPO | | |
| | | WO 97/41688A1 | | 11/06/1997 | D'Agostino | | |
| | | WO 97/45798 | | n/a | Niblett et al. | | |
| | | WO 98/02836 | | 1/22/1998 | Carson | | |
| | | WO 98/48566 | | 10/29/1998 | WIPO | | |
| | | WO 98/53581 | | n/a | Gaw et al. | | |
| | | WO 9808344A | | 02/26/1998 | Sachs, James | | |
| | | WO 9818086 | | 4/30/1998 | WO | | |
| | | WO 99/12349 | | n/a | Hendricks et al. | | |
| | | WO 99/18701A1 | | 04/15/1999 | Henderson et al. | | |
| | | WO 99/26415 | | 11/9/1998 | WIPO | | |
| | | WO 99/45491 | | 10/9/1999 | Eberhard et al. | | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

| | | | | | |
|--|---|----|---|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 4 | of | 5 | Attorney Docket Number | 026880-00024 |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|--------------------------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Country Code/Foreign Patent Document | Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |

| | | | | | | | |
|------|--|----------------|--|------------|-----------------------|--|--|
| /JW/ | | WO 99/52285 | | 10/14/1999 | WIPO | | |
| | | WO 99/66719 | | 12/23/1999 | WIPO | | |
| | | WO 9944144A | | 09/02/1999 | Heutschi, Theodor | | |
| | | CA 2,044,574 | | 12/14/1992 | Anderson et al. | | |
| | | CA 2,458,564 | | | Nadan | | |
| | | DE 3,423,846 | | 1/9/1986 | Welland | | |
| | | DE 3,935,294 | | 4/25/1991 | Mahmud et al. | | |
| | | DE 42 12 184 | | n/a | Christeson et al. | | |
| | | GB 1,204,190 | | 12/22/1967 | Mainichi Broadcasting | | |
| | | GB 2 177 873A | | n/a | Davidson | | |
| | | GB 2,269,302 A | | 02/02/1994 | Tanaka et al. | | |
| | | GB 2168227 | | 6/11/1986 | UK | | |
| | | GB 2344009 | | 5/24/2000 | GB | | |
| | | JP 01-020454 | | n/a | Sei | | |
| | | JP 01130683 | | 5/23/1989 | Doumura | | |
| | | JP 01-142,918 | | 6/5/1989 | Yuka | | |
| | | JP 0186778 | | 3/31/1989 | Japan | | |
| | | JP 02-284571 | | | Kiyoshi | | |
| | | JP 03-114375 | | 5/15/1991 | Fujita | | |
| | | JP 03-198119 | | 8/29/1991 | Tatatomi | | |
| | | JP 040 8228328 | | | FUJIOKA | | |
| | | JP 0405334167A | | n/a | Asai et al. | | |
| | | JP 0406068339A | | n/a | Sato et al. | | |
| | | JP 04-170183 | | 06/17/1992 | TOYOAKI | | |
| | | JP 05-046045 | | 05/03/1993 | KOTA, et al. | | |
| | | JP 05-236437 | | n/a | Kuroda et al. | | |
| | | JP 05250106 | | 9/28/1993 | Kazuyuki | | |
| | | JP 05334167 A | | 12-1993 | Japan/ASAI et al. | | |
| | | JP 060-143086 | | 7/29/1985 | Japan | | |
| | | JP 06068339 A | | 03-1994 | Japan/SATO et al. | | |
| | | JP 061060150 | | 8/6/1986 | Yoichi | | |
| | | JP 06134489 | | 5/17/1994 | Tomohiro | | |
| | | JP 062-140134 | | n/a | Misaki | | |
| | | JP 062-24,777 | | 2/2/1987 | Kawashima | | |
| | | JP 062-245167 | | 10/26/1987 | Japan | | |
| | | JP 064-007786 | | 11/1/1989 | YUKIO | | |
| | | JP 07 235909 | | 9/5/1995 | TOSHIO | | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|--|---|----|---|------------------------|--------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a | | | | Complete if Known | |
| | | | | Application Number | 09/289,957 |
| | | | | Filing Date | April 13, 1999 |
| | | | | First Named Inventor | John S. HENDRICKS et al. |
| | | | | Art Unit | 3621 |
| | | | | Examiner Name | John M. Winter |
| Sheet | 5 | of | 5 | Attorney Docket Number | 026880-00024 |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------------|-----------------------|--------------------------------------|--|--------------------------------|---|---|----------------|
| Examiner Initials ² | Cite No. ¹ | Country Code/Foreign Patent Document | Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |

| | | | | | | | |
|------|--|---------------------------|--|------------|------------------------|--|--|
| /JW/ | | JP 07230466A | | 08/29/1995 | Shuichi | | |
| | | JP 08008850A | | 01/12/1996 | Japan | | |
| | | JP 09 284571 | | 10/31/997 | KIYOSHI | | |
| | | JP 09227193 | | 08/08/1997 | Masateru | | |
| | | JP 11068770 | | 3/9/1999 | JAPAN | | |
| | | JP 408051614A | | 02/20/1996 | Inagaki | | |
| | | JP 410285568A | | 10/23/1998 | Shishino | | |
| | | Taiwan Pat.Pub.No. 234223 | | n/a | Yan et al. | | |
| | | Taiwan Pat.Pub.No. 235358 | | n/a | Glince | | |
| | | Taiwan Pat.Pub.No. 235359 | | n/a | Keiichi Taguchi et al. | | |
| | | Taiwan Pat.Pub.No. 236065 | | n/a | Judin | | |
| | | Taiwan Pat.Pub.No. 236744 | | n/a | Franklin | | |
| | | Taiwan Pat.Pub.No. 238461 | | n/a | Manullan Jr. | | |
| | | | | | | | |

| | | | |
|--------------------|---------------|-----------------|------------|
| Examiner Signature | /John Winter/ | Date Considered | 03/03/2010 |
|--------------------|---------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.